



# Merchant & Gould

An Intellectual Property Law Firm

3200 IDS Center  
80 South Eighth Street  
Minneapolis, Minnesota  
55402-2215 USA  
TEL 612.332.5300  
FAX 612.332.9081  
www.merchant-gould.com

A Professional Corporation

Direct Contact

612.371.5375/Fax: 612.371.5323  
mdipietro@merchant-gould.com

April 16, 2004

## MAIL STOP DUPLICATES

Commissioner of Patents  
Crystal Plaza 2  
Room 7D25  
P.O. Box 1450  
Alexandria, VA 22313-1450

Re: U.S. Serial No. 10/692,650  
Filed: October 24, 2003  
Inventors: WOOD et al.  
Group Art Unit: 3721  
Confirmation No. 8636  
Title: REDUCING CONCENTRATION OF ORGANIC MATERIALS WITH  
SUBSTITUTED CYCLODEXTRIN COMPOUND IN POLYESTER  
PACKAGING MATERIALS  
Our Attorney Docket No.: 11816.54USD1

Dear Sir:

Pursuant to a phone conversation with Examiner Little, enclosed are duplicate copies of the European, PCT and literature references submitted previously with our Information Disclosure Statement mailed on January 6, 2004 in the above-identified patent application. A duplicate copy of the Information Disclosure Statement and Form 1449 are also enclosed for your convenience.

If you have any further questions, please do not hesitate to contact me directly.

Respectfully submitted,

Mark DiPietro  
Reg. No. 28,707  
MERCHANT & GOULD P.C.  
P.O. Box 2903  
Minneapolis, MN 55402-0903  
Telephone: (612) 371-5375

19 April 04  
Date

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**23552**

PATENT TRADEMARK OFFICE

Minneapolis/St. Paul  
Denver  
Seattle  
Atlanta  
Washington, DC

Receipt is hereby acknowledged for the following in the U.S. Patent and Trademark Office:  
In re Application of: WOOD ET AL.  
For: REDUCING CONCENTRATION OF ORGANIC MATERIALS WITH SUBSTITUTED  
CYCLODEXTRIN COMPOUND IN POLYESTER PACKAGING MATERIALS  
Docket No.: 11816.54USD1  
Filed: OCTOBER 24, 2003  
Serial No.: 10/692,650  
Due Date: JANUARY 24, 2004

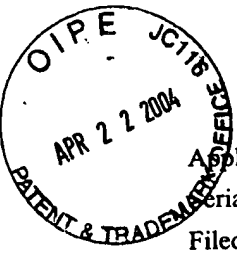
Date Mailed: January 6, 2004

- ☒ Transmittal Sheet in duplicate containing Certificate of Mailing
- ☒ Information Disclosure Statement, Form 1449, 18 Reference(s)
- ☒ Return postcard

Patent



MDiPietro:PLSklg



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: WOOD ET AL.  
Serial No.: 10/692,650  
Filed: OCTOBER 24, 2003  
Due Date: JANUARY 24, 2004  
Title: REDUCING CONCENTRATION OF ORGANIC MATERIALS WITH SUBSTITUTED CYCLODEXTRIN COMPOUND IN POLYESTER PACKAGING MATERIALS

Examiner: UNKNOWN  
Group Art Unit: UNKNOWN  
Docket: 11816.54USD1

**CERTIFICATE UNDER 37 CFR 1.8:**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: , Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on January 6, 2004.

By: 

Name: KATE CANNON

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**23552**

PATENT TRADEMARK OFFICE

Sir:

We are transmitting herewith the attached:

- ☒ Transmittal Sheet in duplicate containing Certificate of Mailing
- ☒ Information Disclosure Statement, Form 1449, 18 Reference(s)
- ☒ Return postcard

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers or any future reply, if appropriate. Please charge any additional fees or credit overpayment to Deposit Account No. 13-2725. A duplicate of this sheet is enclosed.

MERCHANT & GOULD P.C.  
P.O. Box 2903, Minneapolis, MN 55402-0903  
612.332.5300

By: 

Name: Mark DiPietro

Reg. No.: 28,707

MDiPietro:PLSklg

(PTO TRANSMITTAL - GENERAL)



N 10/692,650

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	WOOD ET AL.	Examiner:	UNKNOWN
Serial No.:	10/692,650	Group Art Unit:	UNKNOWN
Filed:	OCTOBER 24, 2003	Docket No.:	11816.54USD1
Title:	REDUCING CONCENTRATION OF ORGANIC MATERIALS WITH SUBSTITUTED CYCLODEXTRIN COMPOUND IN POLYESTER PACKAGING MATERIALS		

CERTIFICATE UNDER 37 CFR 1.8:

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on January 6, 2004.

By:

Name:

*Kate Gannon*  
KATE GANNON

INFORMATION DISCLOSURE STATEMENT (37 C.F.R. § 1.97(b))

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner.

This statement should be considered because it is submitted within three months of the filing date of the above-identified application, which is not an application under 37 C.F.R. § 1.53(d). Accordingly, no fee is due for consideration of the items listed on the enclosed Form 1449.

In accordance with 37 C.F.R. § 1.98(a)(2), a copy of each foreign patent document and article listed on the enclosed Form 1449 is enclosed and in accordance with 37 C.F.R. § 1.98(a)(2)(i), a copy of all U.S. patents and U.S. patent publications listed on the enclosed Form 1449 are not provided as this application was filed after June 30, 2003.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicants do not represent that a

reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Please charge any additional fees or credit any overpayment to Deposit Account No. 13-2725.

Respectfully submitted,

MERCHANT & GOULD P.C.  
P.O. Box 2903  
Minneapolis, Minnesota 55402-0903  
(612) 332-5300

Date:

6 Jan 04

Mark DiPietro

Mark DiPietro  
Reg. No. 28,707  
MD:PLSklg



<b>FORM 1449</b> <b>INFORMATION DISCLOSURE STATEMENT</b> <b>IN AN APPLICATION</b> (Use several sheets if necessary)	Docket Number: 11816.54USD1	Application Number: 10/692,650
	Applicant: WOOD ET AL.	
	Filing Date: 10/24/2003	Group Art Unit: UNKNOWN

U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	3,733,309	05/15/1973	Wyeth et al.			
	3,740,258	06/19/1973	Walles			
	4,108,324	08/22/1978	Krishnakumar et al.			
	4,127,633	11/28/1978	Addleman			
	4,223,128	09/16/1980	Halek et al.			
	4,318,489	03/09/1982	Snyder et al.			
	4,334,627	06/15/1982	Krishnakumar et al.			
	4,342,398	08/03/1982	Chang			
	4,368,825	01/18/1983	Motill			
	4,370,368	01/25/1983	Hirata et al.			
	4,374,878	02/22/1983	Jakobsen et al.			
	4,381,277	04/26/1983	Nilsson			
	4,385,089	05/24/1983	Bonnebat et al.			
	4,391,971	07/05/1983	Massey et al.			
	4,393,106	07/12/1983	Maruhashi et al.			
	4,459,400	07/10/1984	Kuhfuss et al.			
	4,463,121	07/31/1984	Gartland et al.			
	4,473,515	09/25/1984	Ryder			
	4,482,586	11/13/1984	Smith et al.			
	4,615,914	10/07/1986	Walles			
	4,780,257	10/25/1988	Beck			
	4,837,115	06/06/1989	Igarashi et al.			
	4,850,494	07/25/1989	Howard, Jr.			
	5,258,233	11/02/1993	Mills et al.			
	5,266,416	11/30/1993	Inoue et al.			
	5,330,808	07/19/1994	Duff et al.			
	5,340,884	08/23/1994	Mills et al.			
	5,350,788	09/27/1994	Visioli et al.			

EXAMINER	DATE CONSIDERED
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.	

<b>FORM 1449*</b> <b>INFORMATION DISCLOSURE STATEMENT</b>  <b>IN AN APPLICATION</b>  (Use several sheets if necessary)	Docket Number: 11816.54USD1	Application Number: 10/692,650
	Applicant: WOOD ET AL.	
	Filing Date: 10/24/2003	Group Art Unit: UNKNOWN

	5,362,784	11/08/1994	Brodie, III et al.			
	5,492,947	02/20/1996	Wood et al.			
	5,650,469	07/22/1997	Long et al.			
	5,776,842	07/07/1998	Wood et al.			
	5,814,714	09/29/1998	Palomo et al.			
	5,837,339	11/17/1998	Wood et al.			
	5,874,517	02/23/1999	Huang et al.			
	5,883,161	03/16/1999	Wood et al.			
	5,942,297	08/24/1999	Speer et al.			
	5,958,254	09/28/1999	Rooney			
	5,977,212	11/02/1999	Ebner et al.			
	6,007,885	12/28/1999	Hallock			
	6,042,908	03/28/2000	Long et al.			
	6,136,354	10/24/2000	Wood et al.			
	6,274,212 B1	08/14/2001	Rule et al.			
	2003/0004244 A1	01/02/2003	A1-Malaika			

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	0 026 713 A2	04/08/1981	EP				X
	0 714 832 B1	05/12/1999	EP				
	0 455 370 B2	03/12/1997	EP				
	0 878 502 A2	11/18/1998	EP				X
	57049620 A	03/23/1982	JP			abstract only	
	WO 97/01427	01/16/1997	PCT				
	WO 97/28218	08/07/1997	PCT				
	WO 97/44376	11/27/1997	PCT				
	WO 98/12034	03/26/1998	PCT				
	WO 00/66659	11/09/2000	PCT				
	WO 01/00724 A1	01/04/2001	PCT				
	WO 01/02489 A1	01/11/2001	PCT				

EXAMINER	DATE CONSIDERED
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<b>FORM 1449*</b> <b>INFORMATION DISCLOSURE STATEMENT</b>  <b>IN AN APPLICATION</b>  (Use several sheets if necessary)	<b>Docket Number:</b> 11816.54USD1	<b>Application Number:</b> 10/692,650
	<b>Applicant:</b> WOOD ET AL.	
	<b>Filing Date:</b> 10/24/2003	<b>Group Art Unit:</b> UNKNOWN

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)		
		Aharoni, S., "The Cause of the Grey Discoloration of PET Prepared by the Use of Antimony-Catalysts," <i>Polymer Engineering and Science</i> , Vol. 38, No. 7, pp. 1039-1047 (July 1998)
		Antonopoulos, J., "Injection-Blow Molding," <i>Modern Plastics Encyclopedia</i> , Vol. 61, No. 10A pp. 192-194 (October 1984)
		Bartle, K. et al., "Supercritical Fluid Extraction and Chromatography for the Determination of Oligomers in Poly(ethylene terephthalate) Films," <i>Anal. Chem.</i> , Vol. 63, No. 20, pp. 2371-2377 (October 15, 1991)
		Edge, M. et al., "Characterisation of the Species Responsible for Yellowing in Melt Degraded Aromatic Polyesters--I: Yellowing of Poly(ethylene Terephthalate)," <i>Polymer Degradation and Stability</i> , Vol. 53, pp. 141-151 (1996)
		"Richards Packaging Inc. and Duopac Packaging Inc. v. The Deputy Minister of National Revenue v. Eastman Chemical Canada Inc.," <i>Appeal Nos. AP-98-007 and AP-98-010</i> , <a href="http://www.citt.gc.ca/appeals/decision/ap98007e/ap98007e.htm">http://www.citt.gc.ca/appeals/decision/ap98007e/ap98007e.htm</a> , 10 pages (February 10, 1999)
		"Separation and Identification of Poly(ethylene Terephthalate) Oligomers by Gel Permeation Chromatography," <i>Journal of Polymer Science</i> , Vol. 17, No. 12, pp. 4123-4127 (December 1979)

**23552**

PATENT TRADEMARK OFFICE

<b>EXAMINER</b>	<b>DATE CONSIDERED</b>
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